

❖ Introducing knowledgemosaic

One of the deepest repositories of regulatory and disclosure information on the planet. An immersive environment built upon the pillars of search, current awareness, and analytics. Your trusted source for guidance, justifications, expert opinions, insight, trends, relationships, and business opportunities. Be in the know with **knowledgemosaic**.

Keep Current

Updated as the day's events unfold, our news portal offers business, communications, energy, and financial resources. With **Keep Current**, you can:

- See what's new in rulemaking with our **Dodd-Frank Rulemaking Tracker**, now with customizable daily alerts.
- Keep up with the expanding list of IPO filings on our new **IPO Registrations list**.
- Learn of **Bills** and other **Congressional actions** that impact business, finance, securities, energy, communications, and other heavily regulated industries.
- Track the latest decisions handed down from the **federal appeals courts**.
- Stay current on the latest news from a broad range of **federal agencies** and other regulators.
- Read **authoritative commentary** from the world press, newly published law firm memos, and the industry's must trusted blogs.
- Read the latest from **Knowledge Mosaic** on our company blogs. Click on **The Green MienSM** for insight on environmental and energy regulation, or check out **blogmosaicSM** for news on the financial and business world, agency actions, and securities filings.



SEC Disclosure & Transactions



Robust search fields at both the filing and company levels complement full Boolean text search capabilities, helping you to quickly find relevant language from SEC EDGAR filings. Filter by a filer's location, industry, financial characteristics, index membership—even whether it's an investment company or mutual fund. And now we've added **8-K and 10-K item-level searches**, so you can find just what you're looking for and skip the rest.

For even more targeted searches, we offer **additional search pages** tailored to specific types of SEC filings, including:

- A newly enhanced **proprietary database of law firm relationships** to identify firms that represent issuers for SEC filings.
- A **searchable exempt offerings database**, featuring all electronic filings of Form D combined with convenient Excel exporting.
- Search pages dedicated to **securities registrations, risk factors, SEC comment letters, and insider filings**.

Laws, Rules, Agencies

A broad platform for research in any practice area, our **Laws, Rules, and Agency Materials** page aggregates and streamlines the presentation of our federal legal and legislative documents along with data from **more than two dozen regulatory and oversight agencies**.



Search or browse across not only **current securities laws and regulations**, but the complete **US Code**, the complete **CFR**, all bills in the current **Congress**, and the full **Federal Register** dating back to 1998. From the same page, search rules and releases from numerous securities and financial services regulators, including the **SEC, CFTC, FDIC, and FINRA**. You can go the extra mile and discover how agencies like the **FCC, GAO, EPA, NRC, and FERC** impact your business and your clients; and check out our newest additions, including the **FTC, DOJ, DOL, and the Department of the Interior**.

Explore this extensive database using our new **dynamic faceting**, which allows you to refine or redirect your search even as you dig into the universe of relevant results. **Filter by agency** or by **document category**, including enforcement, guidance, rulemaking, news releases, or speeches and testimony. Results feature **keywords-in-context highlighting** and are sorted by relevance.

Law Firm Memos

This unrivaled database of expert commentary features almost **100,000 memos** from the world's top legal and accounting firms. Keep up with the latest legal developments, or do in-depth research on special topics.

- Our memos now cover a broad range of **46 practice areas**, from *Accounting & Auditing* to *Trusts & Estates*.
- You can also create **daily email alerts** by authoring firm, practice area, and text string.



Defendant Search

Supplementing the broader enforcement data available in the **Laws, Rules, Agencies** section, our new **Defendant Search** offers hand-tagged data to allow for targeted searching in three areas:



- Our unique SEC Investigation Disclosures page lets you search on companies that have disclosed preliminary and formal **investigations** along with **Wells Notices** from the SEC.
- The SEC Defendant Search allows you to **instantly harvest insight** from enforcement documents.
- Our FINRA Adjudication page contains **orders and decisions** from the most prominent SRO governing the conduct of securities firms and exchanges in US markets.

Ready to find out more? Contact us.
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